Receipt date: 05/29/2009 (PE 14/29) 10/19 10/19

Combined Form PTO/SB/0	NA & B	T& TRADEN		Complete if Known			
Combined Form 1 10/3B/0	OAGD CA	T& In		Application Number	10/572,753		
INFORM	1ATION DISC	CLOSURE		Confirmation Number	9440		
STATEN	MENT BY AP	PLICANT	Application Filing Date	March 21, 2006			
				First Named Inventor	Hajime NAKAMURA		
(use as	many sheets as n	ecessary)		Art Unit	1793		
				Examiner Name	Matthew E. Hoban		
Sheet	1	of	3	Attorney Docket Number	062281		

U.S. PATENT DOCUMENTS								
Examiner	Cite	Document N	lumber	Publication				
Initials* No.1		Number	Kind Code ¹ (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	1	US 2009/0098006	A1	04-16-2009	Nakamura et al.			
	2	US 2007/0240789	A1	10-18-2007	Nakamura et al.			
	3	US 2008/0247898	A1	10-09-2008	Nakamura et al.			
	4	US 2008/0223489	A1	09-18-2008	Nagata et al.			
	5	US 5,034,146	A	07-23-1991	Ohashi et al.			
	6	US 6,606,019	B1	08-12-2003	Ohashi			
	7	US 2008/0245442	Al	10-09-2008	Nakamura et al.			
	8	US 5,405,455	Α	04-11-1995	Kusunoki et al.			
	9	US 2006/0278517	Al	12-14-2006	Machida et al.			
	10	US 2007/0034299	A1	02-15-2007	Machida et al.			

·····			FOREIG	N PATEN	T DOCUME	ENTS	
Examiner Cite No.1	f -	Foreign Patent Document		Publication			
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶	
	1	WO	2007-119551	A1	10-25-2007	Shin-Etsu Chemical Co., Ltd.	
	2	WO	2006-043348	A1	04-27-2006	Shin-Etsu Chemical Co., Ltd.	
	3	JP	2007-53351 (w/ Eng. Abst.)	Α	03-01-2007	Shin-Etsu Chemical Co., Ltd.	
	4	JP	05-021218 (w/ Eng. Abstract)	Α	01-29-1993	Shin-Etsu Chemical Co., Ltd.	
	5	JP	1-117303 (w/ Eng. Abstract)	Α	05-10-1989	Taiyo Yuden Co., Ltd.	
	6	WO	2006-003882 (w/ Eng. Abst.)	Al	01-12-2006	Shin-Etsu Chemical Co., Ltd.	
	7	JP	2005-285861 (w/ Eng. Abstr.)	Α	10-13-2005	TDK Corp	
	8	JP	6-158238 (w/ Eng. Abstract)	Α	06-07-1994	Sumitomo Special Metals Co Ltd	
	9	EP	1643513	Al	04-05-2006	Japan Science And Technology Agency	
	10	EP	1705671	A2	09-27-2006	Shin-Etsu Chemical Co., Ltd.	

		NON PATENT LITERATURE DOCUMENTS	-
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	1	International Search Report of PCT/JP2007/056594, date of mailing July 10, 2007.	
	2	International Search Report of PCT/JP2007/056586, date of mailing June 19, 2007.	
	3	"Shin-Etsu Chemical develops new high-performance technology for neodymium rare-earth magnets", Shin- Etsu News, press release in Tokyo, March 24, 2005	Yes
000000000000000000000000000000000000000	00000000000	The Nikkan Kogyo Shimbun, reporting the press release, Marcin 25, 2007	No
000000000000000000000000000000000000000	00000059000000	The Dempa Shimban, reporting the press release, March 25, 2007	000000000000000000000000000000000000000
000000000000000000000000000000000000000		The Kagaku Kegye Nippe, reporting the preservicese, March 25, 2007	······
000000000000000000000000000000000000000	20000000000	The Sekiyu Kagaku Shimbun Nikkan Touchin, reporting the press release, March 25, 2007	

Examiner	
Signature	Date Considered
REVANDED. 1-141-1	if the second is a state of the second is a second in the

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

Combined Form PTO/SB/0	QA & D			Complete if Known			
Combined Porm 1 10/3B/0	OAGD			Application Number	10/572,753		
INFORM	ATION DISC	CLOSURE		Confirmation Number	9440		
STATEM	STATEMENT BY APPLICANT				March 21, 2006		
				First Named Inventor	Hajime NAKAMURA		
(use as n	nany sheets as ne	cessary)		Art Unit	1793		
				Examiner Name	Matthew E. Hoban		
Sheet	2	of	3	Attorney Docket Number	062281		

			U.S. I	PATENT DOCUM	IENTS
Examiner Cite		Document Numb	er	Publication Date	
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
			<u> </u>		

			FOREIC	ON PATENT	r DOCUMEN'	TS	
Examiner Cit	Cite		Foreign Patent Document		Publication Date	Name of Patentee or	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD- YYYY	Applicant of Cited Document	Translation ⁶
	11	EP	1705668	A2	09-27-2006	Shin-Etsu Chemical Co., Ltd.	
	12	EP	1705669	A2	09-27-2006	Shin-Etsu Chemical Co., Ltd.	
	13	EP	1705670	A2	09-27-2006	Shin-Etsu Chemical Co., Ltd.	
	14	JP	2004-296973 (w/ Eng. abstr.)	A	10-21-2004	Kenichi Machida	
	15	JP	01-155603 (w/ Eng. abstract)	Α	06-19-1989	Tohoku Metal Ind Ltd	
	16	JP	62-256412 (w/ Eng. abstract)	Α	11-09-1987	Tohoku Metal Ind Ltd	
	17	EP	0255939	A2	02-17-1988	Sumitomo Special Metals Co., Ltd.	
	18	JP	04-328204 (w/ Eng. abstract)	A	11-17-1992	Kashiyuu International Trading KK	
	19	RU	2 136 068 (w/ Eng. abstract)	CI	08-27-1999	Savich Aleksandr Nikolaevich et al.	
	20	SU	1 513 738 (w/ Eng. abstract)	A1	04-20-1995	Filial Vsesojuznogo nauchno- issledovatel'skogo instituta ehlektromekhaniki	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journat, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
000000000000000000000000000000000000000	8	The Nikkan Chemical News, reporting the press release, March 25, 2007	
000000000000000000000000000000000000000		Nikkei Net, reporting the press release, March 25, 2007	No
	10	Hajime Nakamura, "Nd-Fe-B Sintered Magnets Produced by the Grain Boundary Diffusion Process", Bulletin of Topical Symposium of the Magnetic Society of Japan, March 14, 2006, pages 13-18,	Yes
	11	1EEE International Magnetics Conference, May 8-12, 2006, Page 910	Yes
	12	Digest of the 30 th Annual Conference on MAGNETICS in Japan 2006, Pages 417-418	Yes
	13	European Search Report dated June 4, 2008, issued in corresponding European Patent Application Number 07251603.2.	No

Examiner			1	
Signature		 Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

ombined Form PTO/SB/08	A&R			Complete if Known			
,	. 142			Application Number	10/572,753		
INFORMA	TION DIS	CLOSUI	Confirmation Number	9440			
STATEMENT BY APPLICANT			T	Application Filing Date	March 21, 2006		
				First Named Inventor	Hajime NAKAMURA		
(use as m	any sheets as	necessary)		Art Unit	1793		
	-			Examiner Name	Matthew E. Hoban		
Sheet	3	of	3	Attorney Docket Number	062281		

			U.S. 1	PATENT DOCUME	ENTS
Examiner	Cite	Document	Number	Publication Date	
Initials*	No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
			_		
			 -		
	•				

FOREIGN PATENT DOCUMENTS								
Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or			
	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶		
		·····		<u> </u>				
			+		·····			
			 		· · ·			
		No.1 Country	Cite Foreign Patent Documents No.1 Country Number 1	Cite No. Country Number Kind Code	Cite No. Country Number Kind Code MM-DD-YYYY	Cite No. Foreign Patent Document Publication Date Name of Patentee or No. Country Number Kind Code MM-DD-YYYY Applicant of Cited Document		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶		
	14	USSN 11/916,498 filed December 4, 2007 entitled METHOD FOR PREPARING RARE EARTH PERMANENT MAGNET MATERIAL.			
	15	European Search Report dated March 31, 2008, issued in corresponding European Patent Application Number 07254503.			
	16	HIROTA, K. et al.; "Coercivity Enhancement by the Grain Boundary Diffusion Process to Nd-Fe-B Sintered Magnets"; IEEE TRANSACTIONS ON MAGNETICS, Vol. 42, No. 10, pp. 2909-2911, XP002463459, October 2006			
	17	European Search Report dated June 26, 2008, issued in corresponding European Patent Application Number 08250927.4.			
	18	QIN, C-D et al., "The protective coatings of NdFeB magnets by Al and Al(Fe)", Journal of Applied Physics, American Institute of Physics, vol.79, no. 8, pp. 4854-4856, April 15, 1996			
	19	Russian Decision on Grant dated March 18, 2009, issued in corresponding Russian patent application No. 2006117529/02	Yes		

	/A fattle and Labora /	06/20/2009
Examiner Signature	/Matthew Hoban/	Date Considered
4E3(1) (D (D) 1 1 1 1 1 C		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.